

1 **Making Waves: The NORMAN Antibiotic Resistant Bacteria and Resistance**  
2 **Genes Database (NORMAN ARB&ARG) – an invitation for collaboration to**  
3 **tackle antibiotic resistance**

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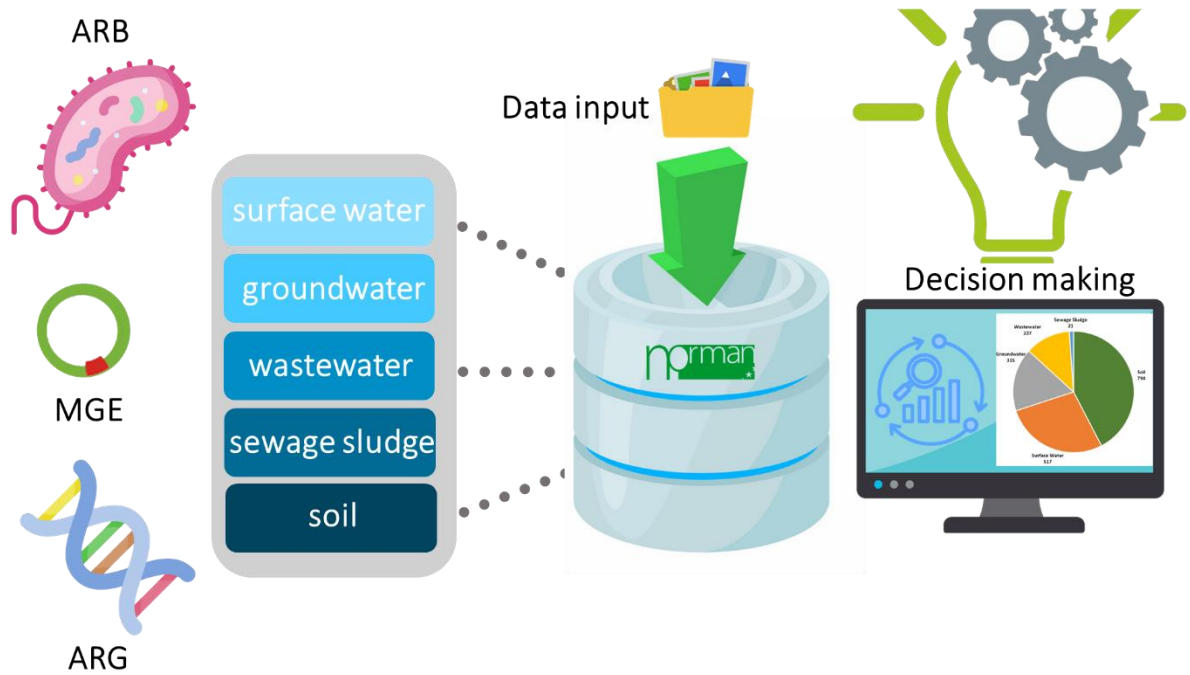
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49

50 **Highlights**

- 51 ➤ The NORMAN ARB&ARG enables open and rapid antibiotic resistance (AR) data sharing
- 52 ➤ NORMAN ARB&ARG data provides an evidence base for regulators and reveal data gaps
- 53 ➤ AR data from three studies were successfully imported to the database as a demonstration

55 **Graphical abstract**



56

57

58 **Abstract**

59 With the global concerns on antibiotic resistance (AR) as a public health issue, it is pivotal to have data  
60 exchange platforms for studies on antibiotic resistant bacteria (ARB) and antibiotic resistance genes  
61 (ARGs) in the environment. For this purpose, the NORMAN Association is hosting the NORMAN  
62 ARB&ARG database, which was developed within the European project ANSWER. The present article  
63 provides an overview on the database functionalities, the extraction and the contribution of data to  
64 the database. In this study, AR data from three studies from China and Nepal were extracted and  
65 imported into the NORMAN ARB&ARG in addition to the existing AR data from 11 studies (mainly  
66 European studies) on the database. This feasibility study demonstrates how the scientific community  
67 can share their data on AR to generate an international evidence base to inform AR mitigation  
68 strategies. The open and FAIR data are of high potential relevance for regulatory applications,  
69 including the development of emission limit values / environmental quality standards in relation to  
70 AR. The growth in sharing of data and analytical methods will foster collaboration on risk management  
71 of AR worldwide, and facilitate the harmonization in the effort for identification and surveillance of  
72 critical hotspots of AR. The NORMAN ARB&ARG database is publicly available at:  
73 <https://www.norman-network.com/nds/bacteria/>

74

75 **Keywords:** Antibiotic resistant bacteria; antibiotic resistance genes; wastewater treatment plant;  
76 wastewater reuse practises

77

78

## 79 **1. Introduction**

80 Antibiotic resistance (AR) has been identified as one of the biggest threats to global health and food  
81 security by the World Health Organization (WHO) (**World Health Organization**). In particular,  
82 antibiotic resistant bacteria (ARB), antibiotic resistance genes (ARGs) and other mobile genetic  
83 elements (MGEs) with the potential to be taken up and expressed by a pathogen are the forms of AR  
84 of epidemiological concerns (**Zhang et al. 2021**). Therefore, the assessment of antimicrobial resistance  
85 risk has been proposed as a part of the marketing authorisation for antibiotics in the EU (**European**  
86 **Commission 2021**). Some agricultural activities, such as application of nonessential antibiotics in  
87 livestock farming, contribute to the dissemination of anthropogenic AR in the aquatic ecosystems  
88 (**Manyi-Loh et al. 2018**). Therefore, monitoring of AR has been a requirement for environmental risk  
89 assessment plan for reclaimed agriculture wastewater (**The European Parliament and the Council of**  
90 **the European Union 2020**). In addition, wastewater treatment plant (WWTP) effluents are proven to  
91 be a critical point source for the release of AR in the environment as they provide favourable  
92 conditions for the development of AR including the close contact between antibiotics and a broad  
93 range of bacteria (of environmental, human and animal origins) with stable pH and temperature (**Di**  
94 **Cesare et al. 2016, Kim et al. 2014, Roberto B. M. Marano 2017**). Aware of this situation, the European  
95 Commission recently recommended that by 2025 antibiotic resistance is monitored at least twice a  
96 year in WWTPs serving more than 100,000 population equivalents (**European Commission**).  
97 Therefore, it is important to monitor the removal of ARB, ARGs and MGEs at the WWTPs, report their  
98 presence in environmental matrices and to share data in open platforms. The scientific community  
99 has initiated investigations to assess the status of AR pollution, including studies under the ANSWER  
100 H2020-MSCA-ITN-2015/675530 (**European Commission**) and the WATER-JPI StARE (**Parnanen et al.**  
101 **2019**) projects for example.

102 To make full use of emerging datasets and knowledge, it is essential to define ARB, ARGs and MGEs  
103 with the highest epidemiological relevance (**Keenum et al. 2022**), and effectively consolidate the  
104 findings from studies on AR. General repositories of ARB and ARG data exist (**Wilkinson et al. 2016**),

105 but efforts to bring these new contaminants of concern at regulatory level require the application of  
106 FAIR principles for sharing of the data and focus on reusability of the data to establish baseline  
107 concentration levels in various environmental compartments. Standardized data collection templates  
108 (DCTs) have been developed for web-based database for AR data in the ITN MSCA ANSWER project  
109 **(European Commission)**. With the knowledge and know-how transfer to the NORMAN Association,  
110 the NORMAN ARB&ARG, <https://www.norman-network.com/nds/bacteria/> **(NORMAN)** was  
111 established to provide open and long living data on AR. The database facilitates the exchange of AR  
112 data, enabling the scientific community to generate new knowledge to tackle the AR issue.

113 The NORMAN ARB&ARG aims to support (1) the automated prioritization of biological risk factors,  
114 with potential connection with the NORMAN Working Group 1 (Prioritization of emerging substances)  
115 and European Partnership for Chemicals Risk Assessment (PARC) **(Dulio et al. 2020)**; (2) the application  
116 of data in models for large-scale projections and policy development, including the establishment of  
117 baseline concentration levels; and (3) the derivation of transparent science-based emission limit  
118 values (ELVs) for the target ARGs in treated wastewater intended for reuse. The present article covers  
119 the infrastructure of the NORMAN ARB&ARG database, and the process of extracting data from and  
120 contributing data to the database. It further provides an overview of the current state and the future  
121 perspectives on the risk management of AR from chemicals and biological contaminants.

122

## 123 **2. Database infrastructures**

124 In order to assure the sustainability of the database, it is hosted by the NORMAN Association, an  
125 independent self-funded organisation working on contaminants of emerging concern. The database  
126 consists of three sections: the sections “antibiotic resistance bacteria” and “antibiotic resistance  
127 genes” contain data on genetic elements including ARB and AR genetic elements that were uploaded  
128 to the database respectively (see sections 2.1 and 2.3); the “DCT download” section contains DCTs for  
129 the scientific community to contribute their AR data to the database (see section 2.2). The DCTs cover

130 a broad range of matrices including wastewater, surface water, groundwater, sea water, soil, sewage  
 131 sludge, plant crop and air. All information on the database is publicly available.

132

### 133 2.1 Data sources of the NORMAN ARB&ARG

134 As of June 2023, 11 studies on the occurrence of AR mainly under the ITN MSCA ANSWER project have  
 135 been uploaded to the NORMAN ARB&ARG (**Table 1**). In total, 2,557 data points covering a range of  
 136 environmental matrices were contributed to the database, including soil (n=794), surface water  
 137 (n=517), groundwater (n=315), wastewater (n=147) and sewage sludge (n=21) from nine countries  
 138 (Germany, Sweden, Spain, Austria, Israel, Cyprus, Netherlands, Switzerland and Portugal).

139 **Table 1.** Summary of the 11 studies with AR data publicly available on the NORMAN ARB&ARG

Countries	Studied matrices*	AR data	Major findings	References
Austria	Distilled water, WW and SS	ARB & genetic elements	A molecular weight cut off (at filtering membrane for water treatment) smaller than 5000 Da is required to effectively remove AR-encoded free DNA	(Slipko et al. 2019)
Austria	SW & Soil	Genetic elements	The first genome-based characterization of an environmental USA300 isolate, providing the ARG profile in surface water and soil samples collected in Austria.	(Lepuschitz et al. 2018)
Cyprus	WW	ARB & genetic elements	The inactivation of ARB and reduction of ARG abundance in wastewater by ozonation was found to be governed by the Chick-Watson model-predicted ozone exposure value.	(Iakovides et al. 2021)
Germany	GW, WW & Soil	Genetic elements	Irrigation with treated wastewater was found to promote the dissemination of the sulfonamide ARG sul1 and the integrase gene int11 to groundwater microbiota.	(Kampouris et al. 2022)
Germany, Netherlands, Switzerland,	SW	Genetic elements	ARG profiles across the Rhine river were established: 5 Rhine-typical genes (ermB, ermF, Int11, sul1 and tetM) and the 2 most abundance genes (Int11 and sul1) were found.	(Paulus et al. 2020)

Israel	Soil, SW, WW	Genetic elements	The potential dissemination of ARGs via treated wastewater irrigation was investigated: no correlation between gene abundance in irrigation water and those detected in soil	(Marano et al. 2019)
Netherlands	WW (from hospital)	Genetic elements	Membrane bioreactor treatment was found to be the most efficient on-site treatment of hospital wastewater in reducing ARGs.	(Paulus et al. 2019)
Portugal	Soil	Genetic elements	The commonly used qPCR procedures are not able to quantify ARGs at or below an abundance of one thousand copies per gram of soil dry weight. ARGs at such levels could pose significant risk to environmental and human health.	(Fortunato et al. 2018)
Spain	Soil	Genetic elements	Water quality (ARG abundance in the water used for irrigation) was proven to play a significant role in the development of AR in crops	(Cerqueira et al. 2019a)
Spain	Soil	Genetic elements	Microbiome composition and ARG levels in crops were found to be influenced by agricultural practices, including the selection of irrigation water.	(Cerqueira et al. 2019b)
Sweden	SW	Genetic elements	The first quantitative assessment of ARGs in the aquatic environment of Sweden, which revealed the widespread of 150 ARGs in urban recipient waters	(Lai et al. 2021)

140 \* WW: wastewater; SS: sewage sludge; SW: surface water; GW: groundwater

141

## 142 2.2 Contribution to the NORMAN ARB&ARG

143 Currently, the NORMAN ARB&ARG database contains AR data primarily from surface water and soils  
144 from European studies. The development in data coverage of the database in more diverse and  
145 globally available geographical locations and environmental matrices would provide a more  
146 comprehensive overview of the status of AR distribution across the globe.

147 The scientific community could contribute to the ARB&ARG database expansion by uploading data  
148 from their studies, increasing knowledge on global ARB and ARG occurrence and distribution.

149 Researchers could include AR data to the database via the DCTs, which are available for download at  
150 <https://www.norman-network.com/nds/bacteria/downloadDCT.php>. The DCTs include columns for  
151 detailed descriptions of the studied genes (such as gene description, gene family, associated  
152 phenotype and multi-drug resistance phenotype) in order to avoid ambiguity and provide insights on  
153 genes of epidemiological relevance. Submitted data would undergo quality check by the NORMAN  
154 ARB&ARG team and be publicly available on the database afterwards. The check includes availability  
155 of mandatory data fields (see instructions of the DCTs) such as sample matrix, AR abundance, bacterial  
156 groups and gene names.

157 There are separate DCTs for submission of AR data in various matrices (water, soil, air, plant crop and  
158 sewage sludge). Each DCT contains 3 modules: data source, analytical method and analysis, which  
159 allows the contributors to include relevant information of their studies to the database. The data  
160 source sheet contains information about the study such as contact person and relevant literature; the  
161 analytical method sheet involves information about the determination method such as limit of  
162 detection (LOD) and uncertainty of the analysis; the analysis sheet entails outputs of the analysis such  
163 as the individual abundance of ARB or AR genetic elements. AR data of low quality would leave  
164 multiple empty spaces in the DCTs including the mandatory fields. Therefore, the DCTs serve as a  
165 quality assurance procedure for the AR data submission in term of comprehensiveness of information,  
166 such as missing details in sampling process or analytical methods.

167 In the present study, a feasibility study was performed by importing AR data from three selected  
168 studies from Asia (two from China and one from Nepal) to the NORMAN ARB&ARG (**Amarasiri et al.**  
169 **2022, Ben et al. 2017, Du et al. 2014**). It demonstrates how the scientific community could share their  
170 AR data to the database, making them publicly available.

171

172 **2.3 Data extraction from the NORMAN ARB&ARG**

173 The NORMAN ARB&ARG allows search of the uploaded occurrence data of ARB and AR genetic  
174 elements by sampling information (for example, sampling site and year) and contaminant information  
175 (bacterial group for ARB and gene name for ARGs). **Figure 1a** demonstrates how users could apply  
176 filtering when retrieving occurrence data from the database. This includes filtering options for country,  
177 sample matrix, sampling site/station, organization, year of analysis, gene name for ARGs and bacterial  
178 group for ARB.


179 The search would provide entries of the available data which match the selected filter, in **Figure 1a** an  
180 example: entries that match all 3 search criteria of “Germany” for “Country”; “Groundwater” for  
181 “Sample matrix” and “sul1” for “Gene name”. By clicking on the magnifier symbol of the entries  
182 (indicated with blue arrow), full record of the metadata from previous studies (abundance, sampling  
183 and analytical method, etc.) could be obtained as shown in **Figure 1b**. The publicly available AR data  
184 can be pulled from the database and exported as CSV file for further analysis.

185






(a) NORMAN Antibiotic Resistance Bacteria/Genes Database - Genes

Update Search / New Search / Results

Export to CSV

For Full Record of all metadata click zoom icon  on the left side of each entry.

Show 200 entries

ID	Sample matrix	Sampling date	Gene name	Concentration/Abundance [gene copy No./ml of sample]	Prevalence [gene copy No./16S rRNA gene copy No.]	Sampling Site/Station	Country
	1 Groundwater	2018-06-20	sul1	495442	0.000093	Abwasserverband Braunschweig	Germany
	2 Groundwater	2018-06-20	sul1	565974	0.0006	Abwasserverband Braunschweig	Germany
	3 Groundwater	2018-06-20	sul1	120330	0.000095	Abwasserverband Braunschweig	Germany
	4 Groundwater	2018-06-20	sul1	476447	0.00045	Abwasserverband Braunschweig	Germany
	5 Groundwater	2018-06-20	sul1	479870	0.000033	Abwasserverband Braunschweig	Germany

(b)

<b>Name of country:</b>	Germany
<b>Station name:</b>	Abwasserverband Braunschweig
<b>Latitude coordinates:</b>	North 52° 21' 35" 52.359722
<b>Longitude coordinates:</b>	East 10° 23' 57" 10.399167
<b>Precision of coordinates:</b>	Average (range 10-100m)
<b>Altitude:</b>	75
<b>[m]</b>	
<b>Sample matrix:</b>	Groundwater
<b>Gene name:</b>	Sul1
<b>Gene description:</b>	di-hydropteroate synthase resistant to sulfonamides
<b>Gene family:</b>	di-hydropteroate synthases
<b>Associated phenotype:</b>	Resistance to sulfonamides
<b>Monogenic phenotype:</b>	Yes
<b>Multi-drug resistance phenotype:</b>	Yes
<b>Genetic marker:</b>	No
<b>Concentration/Abundance:</b>	495442
<b>[gene copy number / mL of sample]</b>	
<b>Prevalence</b>	9.3E-5
<b>[gene copy number / 16S rRNA gene copy number]</b>	
<b>Sampling date:</b>	2018-06-20
<b>Remark:</b>	Samples

Analytical method

<b>Type of sample:</b>	Grab
<b>Volume of sample used for DNA extraction:</b>	2000
<b>[mL]</b>	
<b>Method used for DNA extraction</b>	MoBio PowerWater (Qiagen)
<b>Targeted analysis:</b>	Qpcr
<b>Analysis of pooled DNA extracts:</b>	No
<b>DNA:</b>	5 ng/μL
<b>[ng/μL]</b>	
<b>Limit of Detection (LoD):</b>	0.15
<b>[number of copies]</b>	
<b>Limit of Quantification (LoQ):</b>	2
<b>[number of copies]</b>	
<b>Uncertainty of the quantification:</b>	2
<b>[%]</b>	
<b>Efficiency:</b>	95
<b>Analytical method:</b>	Real-time PCR
<b>Forward Primer</b>	CGCACCGGAAACATCGCTGCAC
<b>Reverse Primer</b>	TGAAGTTCCGCCAAGGCTCG
<b>Dye-Based or Probe-Based</b>	Dye-Based
<b>Probe Sequence (for Probe-Based Analysis)</b>	-
<b>Plasmid Standards or Genome Standards</b>	Plasmid Standards
<b>Remark:</b>	The LOQ/LOD refers to the copies per ng of DNA that was used in reaction. A common practice in molecular methods (And was used in these samples) is to use the same amount (or close amounts) of DNA per method. The reason is to ensure that the sequencing...

Data source

<b>Type of data source:</b>	a. Research and technical studies
<b>Type of monitoring:</b>	Investigative
<b>Title of project:</b>	ANSWER-ITN
<b>Organization</b>	TU-Dresden

186

187 **Figure 1. (a)** Overview of the search options and criteria for retrieving data from the NORMAN

188 ARB&ARG; **(b)** outputs for the search of ARGs with the selected search criteria on the database and

189 metadata of the first entry that matches the search criteria (**Kampouris et al. 2022**).

### 190 3. Feasibility study and Discussion

191 The NORMAN ARB&ARG database is a European initiative, therefore, most of the contributed data are  
192 from European studies. Currently, limited data from studies of other regions are available on the  
193 database. Nonetheless, there is much more data available from published studies from other  
194 countries that could be imported to the database. As a feasibility study to demonstrate how the  
195 scientific community can share their AR data, study experience and analytical methods to the  
196 database, the data from three selected studies of environmental occurrence of AR were imported to  
197 the NORMAN ARB&ARG using the DCTs. In the first selected study, the distribution of AR in ten  
198 municipal WWTPs in three major cities of China (Beijing, Wuxi and Qingdao) was investigated, which  
199 revealed the importance of disinfection during wastewater treatment in removing AR (**Ben et al.**  
200 **2017**). In the second study, the occurrence and abundance of 12 resistance genes in five WWTPs in  
201 Shanghai and Jiangsu Province of China were also studied, which include ten tetracycline resistance  
202 genes and two sulfonamides resistance genes (**Du et al. 2014**). In the third study, the concentrations  
203 of ARGs in the water bodies near Kathmandu Valley, Nepal were evaluated, which include six river  
204 water and 30 groundwater samples (**Amarasiri et al. 2022**). The AR data were taken from the  
205 publications of the studies and imported to the database using the DCTs. AR data of the three selected  
206 studies are now available on the NORMAN ARB&ARG and can be used to support regulation requests.

207 With the constant growth of AR data to the NORMAN ARB&ARG, the database could support the  
208 establishment of comprehensive profiles of AR and AR monitoring in certain regions/nations. For  
209 example, the abundance and diversity of ARB or AR genetic elements in a particular area and matrix  
210 could be obtained by applying proper filters for retrieving data from the database. Such findings could  
211 be further linked to the concomitant usage of antibiotics in the studied area to characterise the source  
212 and status of AR. The availability of data on the database also sheds light on the data gap in AR data  
213 in certain countries, which could require further monitoring programs on the occurrence profile of  
214 ARB or AR genetic elements, or to extract AR data of the countries from recent publications.

215

#### 216 **4. Conclusions and future perspectives**

- 217 ● NORMAN ARB&ARG database was designed to facilitate open and FAIR data exchange of AR in  
218 environmental matrices
- 219 ● Data collection is done using excel templates called DCTs, which are publicly available for  
220 download on the database
- 221 ● A feasibility study based on three selected studies (two from China and one from Nepal)  
222 successfully demonstrated how the scientific community can share their AR data
- 223 ● The NORMAN ARB&ARG is expected to grow from the current to more environmental matrices  
224 across the world
- 225 ● The development of NORMAN ARB&ARG can create synergy by fostering collaboration on the risk  
226 management of AR worldwide and can facilitate the harmonization in identification and  
227 surveillance of critical hotspots of AR.
- 228 ● The data on the database is crucial and valuable in regulatory applications, including the  
229 establishment of baseline concentrations of ARGs and the development of ELVs of ARGs.

230

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242

### 243 **Conflict of interest**

244 The authors declare no conflict of interest.

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