

# Conventional and natural compounds for the treatment of dermatophytosis

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## Abstract

Dermatophytes are a group of pathogenic fungi that exclusively infect the stratum corneum of the skin, nails and hair, causing dermatophytosis. Superficial skin infections caused by dermatophytes have increased in the last decades. There are conventional antifungals that treat these infections, such as terbinafine, fluconazole, and others. However, the limitations of these treatments (resistance, side effects and toxicity) along with the increasing over-prescription, the misuse of these antifungals and the high treatment costs led to the search for new, alternative, natural-based antifungal drugs. These have multiple mechanisms of action, which works to their advantage, making it difficult for a fungus to create resistance mechanisms against all of them at the same time.

The main objective of this work is to provide a state-of-the-art review on dermatophytes, dermatophytosis and the existing treatments, both conventional and natural such as, chitosan and essential oils.

**Keywords:** dermatophytes, dermatophytosis, antifungals, chitosan, essential oils

## 26 **Dermatophytes**

27         Dermatophytes are one of the oldest groups of microorganisms recognized as agents of human  
28 disease.<sup>1</sup> They are fungi with the ability to invade keratinized tissues of humans and other animals (a  
29 rare property in the fungal kingdom) and produce an infection called dermatophytosis.<sup>2</sup> All  
30 dermatophyte species belong to the *Arthrodermataceae* family.<sup>1</sup>

31         Dermatophytes are studied since 1841, when David Gruby discovered the fungal nature of  
32 skin infections.<sup>3</sup> Between 1840 and 1875, *Microsporum audouinii*, *Epidermophyton floccosum*,  
33 *Trichophyton schoenleinii*, *T. tonsurans* and *T. mentagrophytes* were already described. After  
34 Pasteur's invention of axenic culture, culturing and description of new species expanded. At that time,  
35 dermatophyte species definition was performed through the combination of clinical pictures and  
36 morphological characters *in vitro*. Between 1870 and 1920, 16 species of these fungi associated with  
37 humans were described. And, in the following decades, the number of new species and recombined  
38 names increased. This led to huge classification confusion around the year 1950. After that, the  
39 nomenclature of dermatophytes stabilized with the acceptance of three genera *Epidermophyton*,  
40 *Microsporum* and *Tricophyton*.<sup>1</sup>

41         However, this classification in three genera is solely based on the phenotype of the species  
42 and led to the misclassification of morphological mutants that were described in separated taxa.  
43 Furthermore, there are several dermatophytes that do not sporulate in culture (or sporulate poorly)  
44 and thus present limited phenotypic characteristics.<sup>1</sup>

45         In the final decades of the twentieth century, it became clear that morphology could not be  
46 used as sole characteristic for classification or identification because of its limitations. In order to  
47 overcome these issues, Weitzman et al. (1983)<sup>4</sup> began studying physiological parameters, such as the  
48 ability of strains to assimilate a panel of essential vitamins (through fungal culture on trichophyton-  
49 agar), growth temperature, gelatin liquefaction, etc.

50         In 1961, Dawson and Gentles<sup>5</sup> discovered the teleomorphs of dermatophytes. Several

51 geophilic and zoophilic species were found to produce sexual states, and the genera *Arthroderma* and  
52 *Nannizzia* were introduced. This led to the introduction of dual nomenclature of dermatophytes.  
53 During the decades of dual nomenclature, species could have two names, but since 2013 the name,  
54 anamorph or teleomorph, always refers to the same, original type specimen.<sup>1</sup>

55 In 2017, de Hoog et al.<sup>1</sup> constructed a phylogenetic tree using ITS rDNA region because this  
56 gene was comparable and alignable over the entire set of strains they studied. They divided the  
57 dermatophytes into seven clades: *Trichophyton*, *Epidermophyton*, *Nannizzia*, *Paraphyton*,  
58 *Lophophyton*, *Microsporum* and *Arthroderma*. The main characteristics of dermatophytes are listed  
59 in Table 1.

60 So far, 16 *Trichophyton*, 1 *Epidermophyton*, 9 *Nannizzia*, 3 *Paraphyton*, 1 *Lophophyton*, 3  
61 *Microsporum* and 21 *Arthroderma* species have been described. All of them are listed in the table  
62 below (table 2).

63

#### 64 *Ecology*

65 In the course of evolution, dermatophytes have developed host specificity. It is thought that  
66 these fungi, initially, lived in the soil as saprophytic, but due to the increasing interactions with  
67 animals, they evolved to a parasitic lifestyle.<sup>6</sup> Based on their host specificity, these fungi are classified  
68 into three ecological groups: geophilic, zoophilic and anthropophilic.

69 Geophilic dermatophytes are usually saprophytic and obtain nutrients from keratinous  
70 substrates. These fungi rarely cause infection in animals and humans but may be carried by animals  
71 in their fur.<sup>1,7</sup> Zoophiles live in close association with animals and they can infect humans. They are  
72 pathogens with only one animal host and grow as saprophytes on animal materials.<sup>1,7</sup> These fungi  
73 occur in the fur of particular animal hosts, either symptomatically or asymptotically, and can  
74 become epidemic.<sup>1</sup> When zoophilic and geophilic species are transmitted to humans, they cause acute,  
75 inflammatory mycoses. Sometimes, humans infected by zoophilic dermatophytes remain contagious,

76 leading to small, self-limiting outbreaks. On the other hand, most infections caused by geophilic are  
77 quickly resolved.<sup>1</sup>

78 Anthropophilic dermatophytes infect human beings, as they are their primary host, but they  
79 also can cause infection in animals. Transmission of the infection is usually from man to man.<sup>7</sup> They  
80 usually cause chronic, mild, non-inflammatory infections that often reach epidemic proportions.<sup>1</sup>

81 The biological function of dermatophytes in the soil is the degradation of keratinized  
82 substrates (hides, furs, claws, nails and horns of dead animals). In the soil, these fungi live in their  
83 sexual stages (teleomorph), whereas in keratinized materials, they live in an asexual stage  
84 (anamorph).<sup>6</sup>

85 The passage from the saprophytic way of life in the soil to an almost exclusively human  
86 parasitism came with a decrease or loss of conidial formation as well as failure of sexual reproduction.  
87 Along with the loss of the ability to reproduce sexually, anthropophilic species tend to produce  
88 chronic infections that are difficult to resolve spontaneously.<sup>8</sup>

89

## 90 **Dermatophytosis**

91 Infections caused by dermatophytes are called several names such as, dermatophytosis,  
92 ringworm or *tinea*, and they are infections of the skin, hair and nails caused as a result of colonization  
93 of keratinized tissues of the body.<sup>7</sup> Dermatophytosis are cosmopolitan, contagious mycoses that infect  
94 a wide range of mammals (including man) and more rarely birds. Dermatophytes that infect animals  
95 are mostly zoophilic, but can also be geophilic and exceptionally anthropophilic.<sup>9</sup> Although they can  
96 occur on people of any age, some people are more prone to be infected by dermatophytes. Factors  
97 that contribute to the development of dermatophytosis are: ownership of companion animals, use of  
98 public sports facilities, wearing occlusive training shoes, Diabetes Mellitus, vascular diseases (such  
99 as arteriosclerosis) and an ageing population. There are also some risk factors associated with the  
100 high incidence of dermatophytosis such as familiar disposition, male gender, foot trauma and

101 smoking.<sup>10</sup> Among the *Tinea* infections, *Tinea corporis*, *Tinea cruris*, *Tinea pedis* and onychomycosis  
102 are the most predominant types.<sup>7</sup> There are, also, geographic patterns concerning these infections:  
103 *Tinea pedis* is more common in developed countries, while *Tinea capitis* is more common in  
104 developing countries.<sup>11</sup>

105

#### 106 *Types of tinea*

107 There are different types of *tinea* and their names are given according to the site of infection.  
108 Table 3 lists the types of *tinea*, the area of the body affected in each one, some characteristics/  
109 symptoms of these conditions and the responsible fungi.

110

#### 111 *Infection of keratinized areas*

112 Dermatophytes are not part of the normal human skin microbiota, but they are well adapted  
113 to this infection site because they can use keratin as a source of nutrients, unlike other fungal  
114 pathogens.<sup>13</sup> They enter the organism possibly through injured skin, scars and burns. The infection is  
115 caused by arthrospores or conidia. The fungus invades the uppermost, non-living, keratinized layer  
116 of the skin – stratum corneum, and produces the exo-enzyme keratinase, inducing an inflammatory  
117 reaction at the site of the infection.<sup>7</sup>

118 The first step is the entrance of fungal elements capable of germination into the skin or at least  
119 adherence of such elements to the stratum corneum. When vital fungal elements attach long enough  
120 to the stratum corneum, they germinate, hyphae develop and they spread centrifugally.<sup>14</sup>  
121 Dermatophytes release several (extracellular) enzymes during growth. These enzymes allow them to  
122 degrade and utilize keratins, other proteins, lipids and DNA as nutrient sources supporting them in  
123 the infection process.<sup>14</sup> Some of more relevant enzymes are described below.

124

#### 125 *Enzymes*

126                    Proteases. Proteases are one type of relevant extracellular enzymes produced by these  
127 fungi. Proteases are all enzymes that catalyze the cleavage of peptic bonds of proteins, digesting them  
128 into peptides or free amino acids. They can be divided into endoproteases and exoproteases. The first  
129 ones cleave peptide bonds within a polypeptide and the second ones cleave polypeptides only at the  
130 N- or the C-terminus of the chain.<sup>15</sup> Dermatophytes possess a genome encoding a battery of secreted  
131 proteases similar to that of *Aspergillus* species<sup>15</sup> because all dermatophyte species and *Aspergillus*  
132 belong to the same phylum, Ascomycota.<sup>16</sup> However, in these fungi, the genes encoding secreted  
133 endoproteases have expanded.<sup>3</sup>

134                    Proteases are released from the mycelium and they can hydrolyze many soluble proteins.  
135 Some proteases are secreted on culture medium constitutively, particularly when growth begins.  
136 However, in the exponential phase, a decrease in protease production is verified due to the lack of  
137 carbon, nitrogen and sulphur sources. Extracellular proteases of dermatophytes are neutral or alkaline  
138 proteases. Their optimum pH values are in the range of 6 to 9.<sup>17</sup> A clear positive correlation between  
139 proteolytic activity and virulence has not yet been found in dermatophytes. This means that, in these  
140 fungi, the proteolytic and keratinolytic activities aren't lower in opportunistic species when compared  
141 to obligate parasites. However, there are differences in proteolytic activity among strains isolated  
142 from different types of lesions.<sup>17</sup>

143                    Dermatophytes also secrete multiple serine and metallo-endoproteases called subtilisins and  
144 fungalysins, respectively. These enzymes are also known as keratinases.<sup>18</sup> Viani et al.<sup>19</sup> in 2001  
145 established a direct relationship between keratinases and pathogenicity.

146                    Proteolytic enzymes, especially keratinases, are partly responsible for dermatohytes' ability to  
147 invade skin and disseminate through the stratum corneum. Because of this, they have been the most  
148 studied enzymes.<sup>20</sup> One of the most used methods to study keratinases is to determine the keratinolytic  
149 activity of these enzymes by measuring the release of soluble proteins, peptides and amino acids from  
150 keratinaceous substrates.<sup>17</sup> Another method consists in measuring the clear zones around fungal

151 colonies grown on media with keratin.<sup>20,21</sup>

152 In this group of fungi, twelve members encoding subtilisins were registered, five members  
153 encoding secreted deuterolysins and five members encoding secreted fungalysins. At neutral or  
154 alkaline pH, dermatophytes secrete subtilisins Sub3 and Sub4 and fungalysins, Mep3 and Mep4, as  
155 endopeptidases.<sup>3</sup>

156 Dermatophyte fungalysins are glycoproteins with a molecular mass of 40-80 KDa.<sup>15</sup> Proteases  
157 are released from the fungal mycelium on most culture media.<sup>17</sup> However, they are produced at high  
158 levels when the available carbon and nitrogen sources consist of complex proteins instead of glucose  
159 or peptidic digests.<sup>18</sup>

160 Additionally, dermatophytes secrete aminopeptidases including leucine aminopeptidases  
161 (Lap1 and Lap2) and dipeptidyl-peptidases (DppIV and DppV), which showed similar activities to  
162 *A. fumigatus* orthologues.<sup>22</sup> It was also discovered that dermatophytes are able secrete a  
163 carboxypeptidase that is homologous to the human pancreatic carboxypeptidases.<sup>23</sup>

164 It was also reported that in a proteic medium at acidic pH, these fungi secrete an aspartic  
165 protease of the pepsin family (Pep1) and exoproteases which are tripeptidyl peptidases of the sedolisin  
166 family (Seds), prolyl peptidases and carboxypeptidases.<sup>24</sup>

167

168 LysM proteins. These have a role in protecting dermatophytes from host immune  
169 detection. These proteins seem to bind and mask cell wall components and carbohydrates, thereby  
170 avoiding the host's immune response to the fungi.<sup>16,25</sup> The importance of these proteins is supported  
171 by observations that, during infection, defective or absent cell-mediated immunity predispose the host  
172 to chronic or recurrent infections.<sup>26</sup>

173

174 Lipases and esterases. Dermatophytes can produce lipases and esterases and this  
175 production was demonstrated by plate tests, diagnostic kits and on liquid media. Lipase and esterase

176 production are usually studied in media containing lipids, but they are not only produced in these  
177 media. They are also produced in media with keratin and even in Sabouraud glucose-peptone broth.  
178 There are usually great differences in lipolytic activity between species and strains of dermatophytes.  
179 However, the lipolytic activity is usually moderate to weak.<sup>17</sup> For instance, *T. rubrum* has a weak  
180 lipolytic activity whereas *M. canis* demonstrates high activity.<sup>27,28</sup>

181

182 Elastase. Elastase is an extracellular enzyme that degrades elastin.<sup>29</sup> Elastin is a highly  
183 elastic protein present in connective tissues and allows them to resume their shape after stretching or  
184 contracting. In dermatophytes, elastase production has been associated with acute lesions. The  
185 elastase activity present in *T. mentagrophytes*, *T. verrucosum* and *M. gypseum*, and absent in *M. canis*  
186 suggests that the first three species are more virulent than the last ones.<sup>19</sup>

187

188 Other enzymes. Dermatophytes produce an extracellular phosphatase with wide  
189 specificity and optimum pH around 8.7. The enzyme is secreted constitutively because it was found  
190 in media containing inorganic phosphate.<sup>17</sup> The production of phosphatase occurs in *T. rubrum*, *T.*  
191 *mentagrophytes*, *E. floccosum* and *M. canis* has described in the literature.<sup>17,30,31</sup>

192 These fungi also produce amylase and some authors have argued that starch utilization induces  
193 amylase production. Dermatophytes can't hydrolyze pectin, native cellulose and its derivatives and  
194 products. However, they are able to hydrolyze simple sugars.<sup>17</sup> The production of amylase was  
195 detected in *T. mentagrophytes*.<sup>32</sup>

196 These fungi also produce kinases, including pseudokinases. These enzymes are involved in  
197 signalling and are necessary for adapting to the skin's niche.<sup>16</sup> Some authors described the production  
198 of kinases in *T. equinum*.<sup>33</sup>

199 Enzymes are not the only tools that allow dermatophytes to degrade keratinized substrates. By  
200 excreting large quantities of sulphite - sulphitolysis - dermatophytes can cleave disulphide bridges.



201 By this process, reduced proteins become accessible for further digestion by proteases.<sup>15</sup>

202 During skin invasion, dermatophytes are not limited to the ability of producing enzymes or to  
203 excrete sulphites. These fungi are able to invade the stratum corneum due to a number of conditions  
204 in the skin that favor their growth, namely: a) the stratum corneum is an avascular tissue composed  
205 of highly specialized but dead cells. So, it is distant from the body's main defensive mechanisms; b)  
206 the stratum corneum is well hydrated so, the skin temperature is cooler than body temperature, pH  
207 ranges from 5.5 to 6.7, and skin is exposed to the aerobic conditions of the atmosphere; c) the stratum  
208 corneum is favorable to dermatophyte growth because it is composed of proteins, amino acids, lipids,  
209 carbohydrates and various trace elements, including iron; d) in some areas of the stratum corneum  
210 there are certain anatomical considerations which may enhance the establishment of dermatophytes:  
211 hair on scalp may act as a trapping device for an airborne dermatophyte infection; the hyponychial  
212 horny layer is covered by the distal portion of the nail plate and a groove is thus constructed, which  
213 may also act as a trapping device for dermatophyte infective particles, the interdigital spaces of the  
214 toes and the crural areas in males are naturally occluded, which may explain the fact that *tinea pedis*  
215 in most instances starts in the toes webs and *tinea cruris* is almost exclusively a male disease.<sup>34</sup> The  
216 mechanism through which keratinophilic fungi degrade keratin is a result of the mechanical action of  
217 the fungus and the enzymatic activity of intra cellular keratinases.<sup>35</sup>

218 Dermatophytes induce the normal signs of inflammatory reactions such as redness, induration  
219 (swelling), heat and alopecia (hair loss). Inflammation causes the pathogen to move away from the  
220 infection's site and fixate at a new site. This movement of the fungus produces the classical ringed  
221 lesion.<sup>7</sup> There are some factors that can predispose to chronic dermatophytosis. These factors include  
222 collagen vascular disease, corticosteroid administration, diabetes mellitus, hematological malignancy,  
223 atopy and old age. It has also been documented and inherited tendency to develop chronic infection  
224 linked to an autosomal recessive trait.<sup>36</sup>

225

## 226 **Impact and epidemiology of dermatophytosis**

227         Dermatophytosis appears commonly in pet animals, in livestock and sometimes in wildlife.  
228         Because it is a contagious disease, there is a high occurrence of *tinea* in herds and animal collectivizes.  
229         Although, it is not a serious illness, it has serious economic consequences due to the long duration of  
230         the disease, the easy contamination and spread of infection among animals and the difficulties and  
231         costs of control measures. Because *tinea* is a contagious disease and due to unaesthetic aspect of the  
232         lesions, it is a limitation to the attendance at pet exhibitions and sport activities for horses, to  
233         commercial transactions and animal commerce. Furthermore, *tinea* also leads to losses in the hide  
234         and skin industry as scars resultants from *tinea* lesions reappear on leather at tawing and tannery.<sup>9</sup>

235         Animal dermatophytosis are of great concern in public health as the majority of dermatophytes  
236         isolated from animals are zoonotic.<sup>9</sup> These dermatophytes are the most common cause of fungal  
237         infections worldwide, affecting millions of people annually. In the United States alone, they have an  
238         economic impact on the health care system that exceeds \$400 million a year.<sup>37</sup>

239         All genera of dermatophytes have a worldwide distribution, excluding Antarctica.<sup>7,11</sup> The  
240         distribution of dermatophytes presents a great variance around the world. Seebacher et al.<sup>38</sup> (2008)  
241         reported that in Europe, particularly, in Mediterranean countries and in Slovenia, the incidence of *M.*  
242         *canis* infections has increased. *T. rubrum* is the main cause of *tinea pedis*, nail infections, *tinea cruris*  
243         and *tinea corporis* in the world. These fungi are replacing other dermatophytes. This fact is probably  
244         related to the many possibilities of infection by *T. rubrum* due to its large distribution among the  
245         world population.

246         In Portugal, it is estimated that 1,510,391 inhabitants have a skin fungal infection,  
247         corresponding to an incidence of 14,3%.<sup>39</sup> In a study carried out between 1983 and 2002, 10,003  
248         samples were analysed and the frequency of dermatophytes was 23.6%, with a prevalence of *tinea*  
249         *capitis* (4.9%).<sup>40</sup> In a more recent study, performed by the Portuguese National Institute of Health,  
250         the average frequency of dermatophyte infection was 21%; *Tinea capitis* was confirmed in 28% of

251 the patients and incidence in children (1–9 years)<sup>41</sup> and it was the most frequent.

252 Superficial skin infections caused by dermatophytes have increased during the last decades,  
253 especially among high-risk patients. Currently, the prevalence of these infections has increased and  
254 the percentage of people infected with skin mycoses is more than 20%.<sup>42</sup> Although in developed  
255 countries the incidence of *tinea capitis* is decreasing, *tinea pedis* and onychomycosis are becoming  
256 an epidemiologic and economic problem.<sup>38</sup> In 1998, Svejgaard<sup>43</sup> listed the three main factors for the  
257 distribution of dermatophytes in Europe. These factors are: 1) the existence of a poor living standard  
258 in several Eastern and Southern European countries is responsible for the increase in zoophilic  
259 infections; 2) the increased spread of *T. rubrum* seems to be related to urban areas with dense  
260 populations and social activities, like travelling and sports; 3) the increase of migration leads to the  
261 reintroduction of other antropophilic species.

262

### 263 **Treatment of dermatophytosis**

264 Usually, the treatment of dermatophytosis begins with topical antifungals. These treatments  
265 are used in non-extensive lesions and can't be irritant and must be well tolerated.<sup>44</sup> However, there  
266 are some cases of *tinea* that are not responsive to topical treatments (e.g. some cases of *tinea unguium*,  
267 *tinea capitis*, *tinea barbae*, widespread skin lesions and skin lesions with folliculitis). In these cases,  
268 systemic antifungal therapy is necessary.<sup>44</sup> The majority of antifungals are fungistatic.<sup>44</sup> They should  
269 act in the fungus and have low or no activity on the host cell.<sup>45</sup> In some cases of dermatophytosis,  
270 bacterial infections may be present (superinfection). Because of this, an antimicrobial with both  
271 antibacterial and antifungal action may be useful.<sup>46</sup>

272 The control of dermatophytic infections requires prolonged therapy, and the existing drugs,  
273 on long run seem to exhibit side effects, which requires new biocompatible formulations for long  
274 term therapies.<sup>47</sup>

275

## Conventional therapies

The first oral agent used to treat a dermatophyte infection was griseofulvin. Professor Jimmy Gentles discovered this antifungal agent in 1958. He discovered that an antibiotic agent produced by *Penicillium griseofulvum* had an antidermatophytic activity. Griseofulvin is still used to treat dermatophytosis along with azole antifungals and terbinafine introduced many years later.<sup>36</sup>

There are several antifungal therapies to treat *tinea*. Table 4 shows a list of the approved FDA treatments for some types of dermatophytosis. The primary treatment indicated for *tinea corporis*, *tinea cruris*, *tinea pedis* and *tinea manuum* consists in topical medications. There are many topical agents that are available in cream, gel, lotion and shampoo formulas. The agents from azole antifungal family (e.g. clotrimazole, miconazole, econazole, oxiconazole) are the most used. Agents from the allylamine family, as terbinafine and naftifine, are also used.<sup>46</sup>

Oral therapies are the primary treatments in the case of *tinea unguim* (onychomycosis) and *tinea capitis*. However, there is some evidence that suggests that in less severe cases of onychomycosis, a topical treatment, with ciclopirox and amorolfine, can be effective.<sup>46</sup> The most common topical preparations used for *tinea pedis* and *tinea manuum* are formulations of terbinafine, butenafine, miconazole, econazole, ketoconazole, clotrimazole, oxiconazole and ciclopirox. If the infection is chronic, oral antifungals may be used.

Terbinafine, butenafine, econazole, miconazole, ketoconazole, clotrimazole and ciclopirox are some of the topical therapies for treatment of *tinea corporis* and *tinea cruris*. However, topical therapies can eradicate only small, infected areas. So, to treat larger areas or when the infection is chronic or recurrent, oral therapy may be needed. To treat *tinea capitis*, oral therapy is required because it can penetrate the hair shaft while topical therapies are unable to access this deep. However, topical antifungals can be used to prevent reinfections or treat asymptomatic carriers. *Tinea unguium* is difficult to cure. Finger nails presents higher treatment success than toe nails, because they grow faster and the doses of antifungal used are smaller. In subjects with *tinea unguim*, nail debridement

301 may be a complement to antifungal therapy. Sometimes is necessary to remove the nail plate  
302 surgically to eliminate most of the living fungi.<sup>46</sup>

303       There are several studies on the antifungal action of these agents upon dermatophytes. In table  
304 5, a list of minimum inhibitory concentrations (MICs) of five antifungal agents commonly used upon  
305 some dermatophytes is presented.

306

307       Mechanisms of action: The mechanisms of these antifungal agents are diverse. Table  
308 6 shows the relationship between some antifungal agents and their correspondent mechanism of  
309 action. Although these antifungal agents are currently used to treat ringworm infections, some studies  
310 show that there are cases of dermatophyte resistance. For example, Mukherjee et al.<sup>51</sup> (2003)  
311 described the existence of a *T. rubrum* strain resistant to terbinafine and Ghelardi et al.<sup>52</sup> (2014)  
312 pointed the existence of several *T. rubrum* strains resistant to itraconazole.

313

314       Resistance: Resistance can be intrinsic or acquired. In intrinsic resistance, a specific  
315 characteristic responsible for resistance is inherent to the species and appears in the process of  
316 evolution. This type of resistance enables all members of a species to tolerate an antifungal drug.  
317 Acquired resistance happens when a resistant strain appears in a population that was drug-sensitive  
318 previously.<sup>45</sup>

319       Antifungal misuse is the main cause for the emergence of drug resistant strains. If patients do  
320 not finish the full course of the treatment or if they make an inadequate use of the antifungal drugs,  
321 this could lead to the failure of eliminating the fungus completely, encouraging the growth of more  
322 resistant strains.<sup>45</sup>

323       The mechanisms present in these fungi that contribute to resistance are: modification of target  
324 enzymes by mutation, increased drug efflux, stress adaption and over-expression of target enzymes.  
325 From a molecular point of view, these biochemical changes can come from gene amplification, gene

transfer, gene deletion, point mutations, loss of *cis*-acting regulatory elements, loss or dysfunction of trans-acting factors, transcriptional activation, hypo or hyper-methylation and stress induced production of alarmones.<sup>53</sup>

Table 6 shows the relationship between the antifungal agent, the corresponding mechanism of action and the common resistance mechanisms for these drugs. Notice that the list of resistance mechanisms presented on that table concerns the dermatophyte *T. rubrum*, because there are few studies regarding drug resistance mechanisms in other dermatophytes and most of the studies pertain to this particular fungus.<sup>45</sup> Due to an increasing resistance of these conventional antifungals, treatment failure is quite recurrent,<sup>54</sup> and, as a result new, alternative, natural antifungal substances, began to be studied.

#### *Essential oils/plant extracts*

Humans have used medicinal plants as remedies for centuries. These plants are part of traditional and modern medicine. In some countries of Africa, Asia and Latin America, traditional medicine is still used in primary health care needs.<sup>55</sup> Essential oils are natural complex compounds composed of terpenes, aromatics and terpenoid molecules.<sup>54,56</sup> They possess a strong odor and are liquid, volatile, limpid, rarely colored and soluble in lipids and organic solvents. Usually, they are less dense than water.<sup>56</sup> These metabolites can be synthesized by all plant organs (buds, flowers, leaves, stems, twigs, seeds, fruits, roots, wood and bark) and they are stocked in different structures, like secretory cells, cavities, canals, epidermic cells and glandular trichomes.<sup>56</sup> In nature, essential oils have a protective function. They act as antibacterials, antifungals and insecticides for the plants and shield plants against herbivores by reducing their appetite for the plants. These compounds may also attract some insects, which favor the dispersion of pollens and seeds and repel the undesirable ones.<sup>56</sup>

In order to obtain essential oils, the plant material must be distilled using water steam or by

351 dry distillation. To extract compounds from *Citrus* spp. fruits, the process employed must be cold  
352 pressing (also called expression). The plants used for essential oils production could be wild or  
353 cultivated.<sup>54</sup>

354 Essential oils present bactericidal, virucidal, fungicidal, anti-inflammatory, spasmolytic,  
355 analgesic and sedative properties and have associated different and characteristic fragrances. Because  
356 of that, they are used in cosmetics and medicine.<sup>54,56</sup> In table 7, the main essential oils produced  
357 worldwide are listed. Many authors studied the antifungal action of various plants upon  
358 dermatophytes. In table 8, a list of minimum inhibitory concentrations (MICs) of some common  
359 plants extracts upon two dermatophytes, *T. rubrum* and *M. canis* is presented.

360

361 Mechanisms of action of essential oils: Essential oils have been screened for their  
362 antifungal activity but the interaction between these oils and microorganisms is still poorly  
363 understood. Regarding the number of studies on this topic, there is very little information about  
364 antidermatophytic activity of essential oils, since *Candida* spp. and *Aspergillus* spp. have been the  
365 most studied species.<sup>54</sup>

366 Several mechanisms of action of these compounds have been studied. Some are: 1) the  
367 reduction of ergosterol content by impairment of its biosynthesis (as suggested by Pinto et al.<sup>63</sup> (2006)  
368 that showed that 0.08 µL/mL of *Thymus pulegioides* oil was able to reduce around 70% of ergosterol  
369 content of *T. rubrum*); 2) damage of the cell membrane and cell wall and lysis of the mycelia (as  
370 indicated by Inouye et al.<sup>64</sup> (2006) that pointed through scanning electron microscopic observations  
371 that oregano essential oils were able to damage the cell membrane and cell wall in a dose and time  
372 dependent manner); 3) destruction of the inner mitochondrial membranes and cell wall as well as  
373 expansion of endoplasmic reticulum near cell membranes (as observed by Park et al.<sup>65</sup> (2007)) by  
374 transmission electron microscopy observation of *T. mentagrophytes* hyphae treated with eugenol, a  
375 main compound in *Syzygium aromaticum* essential oil); 4) adverse effects on spore germination (a

376 study of Bajpai et al.<sup>66</sup> (2009)) showed that *Nandina domestica* oil affected spore germination of  
377 various strains of *T. rubrum*, *M. canis* and *T. mentagrophytes*).

378 In general, the compounds of essential oils that contribute most for their antifungal action are  
379 mainly phenolic terpenes such as, carvacrol and thymol. These phenolic compounds can attack cell  
380 walls and membranes, affecting the permeability and release of intracellular constituents. So, they  
381 might have several invasive targets, allowing a complete inhibition of fungal infection.<sup>66</sup>

382 Overall, it seems that the antifungal activity of essential oils results from the effect of different  
383 compounds on several cell targets and it is not due to a single mechanism of action. Because of that,  
384 the occurrence of resistances seems unlikely, since it would be necessary the simultaneous occurrence  
385 of several mutations to overcome all the distinct antifungal actions of these compounds.<sup>54</sup>

386

#### 387 *Chitosan*

388 Chitosan is a cationic polysaccharide composed of  $\beta$ -1,4 linked D-glucosamine and N-acetyl-  
389 D- glucosamine residues.<sup>67</sup> It only occurs naturally in some fungi (*Mucoraceae*). On the other hand,  
390 chitin is the most abundant polymer in nature after cellulose.<sup>68</sup> It is found predominantly in the shells  
391 of crustaceans, the cuticles of insects and the cell walls of fungi.<sup>69</sup> Chitosan can be prepared by  
392 cleavage of N-acetyl groups in chitin N-acetyl-2 amino-2-deoxy-D-glucose residues. It's preparation  
393 from chitin implies some steps. First, chitin is extracted by acid treatment to dissolve calcium  
394 carbonate followed by alkaline extraction to dissolve proteins. Then, a depigmentation step is carried  
395 out for removing the astaxantine, allowing a colorless product. After that, a severe alkaline hydrolysis  
396 is carried out in order to hydrolyze the acetamine groups of chitin and obtain chitosan.<sup>68</sup> Usually,  
397 chitin is isolated from marine crustaceans, because there is a large amount of waste resultant as a by-  
398 product of food processing.

399 Chitosan has proved to be non- toxic, biodegradable, biocompatible, and to possess  
400 antimicrobial and anti-inflammatory properties<sup>68</sup>, relevant to fight infections caused by



401 dermatophytes.<sup>70</sup>

402

403 Mechanisms of action of chitosan: It is believed that chitosan's cationic nature and  
404 high molecular weight helps in its antifungal action, since it interferes with negatively charged  
405 residues of macromolecules on the fungal cell surface, thus causing changes in cell membrane  
406 permeability.<sup>71,72</sup> It can also prevent DNA transcription to RNA<sup>73</sup> and inhibit the RNA and protein  
407 synthesis by permeation into the cell nucleus.<sup>68</sup>

408 Some authors<sup>73</sup> mentioned that antifungal activity of chitosan is molecular weight dependent  
409 and that the smaller the molecular weight is, the stronger the antifungal activity. However, this  
410 relationship may not be as linear as some authors suggest, because antifungal activity is dependent  
411 on the fungi type; a fungus can interfere with antifungal activity of a drug due to its adaptation and  
412 defense mechanisms to stress, which can affect the structural integrity of the cell wall or induce the  
413 synthesis of defense compounds.<sup>74</sup> Other works refer that the inhibitory action of chitosan is directly  
414 proportional to the concentration, because at higher concentrations of chitosan, fungi will produce  
415 higher concentrations of chitinase and this leads to the degradation of chitin and chitosan of fungal  
416 cell walls.<sup>73</sup> Additionally to the direct inhibitory effect of chitosan on fungi growth, chitosan also  
417 possesses the ability to prevent spore germination. In fact, chitosan, due to its chelating properties,  
418 can interfere with the uptake of minerals, particularly  $\text{Ca}^{2+}$  and nutrients, thereby delaying spore  
419 germination.<sup>75</sup> It can, also interact with anionic groups on cell surfaces, due to its polycationic nature,  
420 thus causing the formation of an impermeable layer around the cell, which prevents the transport of  
421 essential solutes. This polymer can also interact with and flocculate proteins.<sup>68</sup>

422 For treatment of dermatophytosis, the most relevant chitosan features are its antimicrobial and  
423 anti-inflammatory actions. These properties vary with the degree of N-acetylation and the molecular  
424 weight of the chitosan molecule. So, both antimicrobial and anti-inflammatory activities are inversely  
425 proportional to the degree of N-acetylation. With regard to molecular weight, antimicrobial action

426 can be either directly or inversely proportional to molecular weight, whereas anti-inflammatory action  
427 is inversely proportional to molecular weight.<sup>68,69</sup> MIC values for the antifungal activity of chitosan  
428 have been reported by several authors ranging from 1.1 mg/mL to 2.2 mg/mL. Balicka-Ramisz et al.<sup>76</sup>  
429 (2005) obtained a MIC value of 1.1 mg/mL for *M. canis*. Goy et al.<sup>77</sup> (2009) also determined the MIC  
430 values for *M. canis* and the result was 1100 ppm (i.e. 1.1 mg/mL). This is the same MIC value  
431 described by Balicka-Ramisz et al. (2005).<sup>76</sup> Both authors also determined the MIC of chitosan for  
432 another dermatophyte fungus, *T. mentagrophytes*, and reached the value of 2.2 mg/mL.

433

## 434 **Conclusion**

435 Dermatophytes are a group of fungi that can invade keratinized tissues of humans and other  
436 animals and produce an infection called dermatophytosis. Dermatophytic infections are an important  
437 public health problem and to control them a prolonged therapy is required. There are some drugs that  
438 can eradicate the infection but, these drugs seem to exhibit side effects and the frequent and prolonged  
439 use of these compounds is responsible for strain resistance, representing a potential risk for the  
440 environment and human health.

441 In view of all this, new biocompatible drug formulations for long-term use are required. Some  
442 natural solutions such as chitosan and plant extracts or essential oils arise as interesting alternatives  
443 to conventional treatments. However, the study of their antimycotic properties is in its early stages  
444 and more studies need to be done until they can be a reliable treatment option.

445

## 446 **Funding Information**

447 This work was supported by project Biotherapies-Bioengineered Therapies for Infectious Diseases  
448 and Tissue Regeneration, North Regional Operational Program, Norte 2020. (NORTE-01-0145-  
449 Feder-000012). The authors would also like to thank the scientific collaboration of CBQF under the  
450 FCT project UID/Multi/50016/2013.

451

452   **Conflicts of Interest**

453   None.

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